

Segall Bryant & Hamill Online Privacy Policy

Your privacy is important to us at Segall Bryant and Hamill (SBH) and we take precautions to safeguard your personal information.

This Online Privacy Policy describes how SBH collects, uses, shares, protects and stores personal information from users of our website including the use of cookies.

What is Personal Information?

“Personal Information” is information that identifies an individual or relates to an identifiable individual. It can include name, physical address, phone number, email address, date of birth, social security number, tax ID number, employment information, financial or payment information, and more.

It also includes types of information which can be used to identify you, directly or indirectly, using cookies.

Personal Information We Collect

We collect personal information about users through our website and SBH webpages on social media sites such as LinkedIn, which may include the following:

- Information users provide when they enter information on our website, such as when submitting a “Contact Us” message (such as name and email address);
- Information users provide when requesting literature such as applications or prospectuses (such as name, address, phone number, and email address);
- Information users provide when posting content on social media sites;
- Information users provide in their social media bio;
- Information captured in our web logs such as device information (e.g. device brand and model, screen dimensions), unique identification numbers (e.g. IP address and device ID), and browser information (e.g. URL, browser type, pages visited, date/time of access);
- Information captured by cookies (see section on “Cookies and how we use them” below);

Personal Information We Share

We may share any of the personal information we collect with certain individuals and entities in connection with the information you shared with us. These individuals and entities include:

- **Service Providers.** We provide client information to third party service providers when it is essential for the servicing of your account (i.e., transactional services, database management services, marketing content management, security).
- **Affiliates.** Client information is provided to our parent company or affiliates for marketing purposes and to conduct everyday business activities.
- **Legal Authorities or Financial Institutions.** We may disclose personal information with your consent to financial institutions or in response to lawful requests from courts or other government authorities.

We require third party service providers and financial institutions with which we have a formal agreement to provide services relating to our arrangement and to use and protect your information per the agreement in place. We may disclose such information to other third parties as required by law.

How We Use Cookies

This website uses cookies to improve your browsing experience while you navigate through the website. A cookie is a small text file which includes a unique identifier that is sent by a web server to the browser on your computer, mobile phone or any other internet enabled device when you visit an online site. Cookies and similar technologies are widely used to make websites work efficiently and to collect information about your online preferences.

We categorize cookies as “necessary” and “not necessary.” Cookies that are categorized as necessary are stored on your browser as they are essential to the basic functionalities of the website. Cookies that are not necessary include performance and targeting cookies and include third-party cookies that help us analyze and understand how you use this website. These cookies will be stored on your browser only with your consent. You have the ability to opt-out of these cookies but it may impact your browsing experience.

Ways that we use cookies and other tracking technologies include:

- To assist you in navigation;
- To analyze your use of our products, services or applications;
- To assist you with our promotional and marketing efforts;
- To maintain and enhance our website and the services and information we offer;
- To analyze trends in our users’ behavior.

Website Analytics and Online Tracking

We may share aggregated anonymous data concerning our users as collected through our website with certain third-party analytic services such as Google Analytics to better understand your use of our website and our services. Google Analytics collects information such as how often users visit the websites, what pages they visit and what other sites they used prior to visiting. Google uses the data collected to track and examine the use of the websites, to prepare reports on its activities and share them with other Google services. Google may use the data collected on the websites to contextualize and personalize the ads of its own advertising network. Google’s ability to use and share information collected by Google Analytics about your visits to the websites is restricted by the Google Analytics Terms of Service and the Google Privacy Policy. Google offers an opt-out mechanism for Google Analytics here: <http://tools.google.com/dlpage/gaoptout>.

You can change your privacy preferences regarding the use of cookies and similar technologies through your browser. Useful information about the technical aspects of cookies can be found at <http://www.allaboutcookies.org>.

As a general rule, we do not permit any third parties (such as advertisers) to install cookies on our websites or engage in behavioral advertising. However, our site contains links to other websites operated by third parties, including social media websites, such as LinkedIn. These third party websites may collect information about you if you click on the link and may automatically record information about your browsing behavior every time you visit a website that has a social media button or link. Your interactions with these features are governed by the privacy policy of the company providing the feature, not by our online privacy policy. We do not control what information these third parties collect.

How We Protect, Retain and Store your Personal Information

We maintain physical, electronic, and procedural safeguards that comply with applicable federal or state standards to protect your nonpublic personal information. We restrict access to your nonpublic personal information to SBH employees who need to know that information to service your account.

The personal information you provide to us may be held and stored electronically and/or in hard copy. We retain your personal information for the duration of your advisory relationship with us and for a period of time thereafter as required by applicable laws. SBH takes reasonable and appropriate security measures consistent with current industry practices to protect your personal information. SBH employees and authorized third parties that are provided access to your personal information are bound by confidentiality obligations and are required to treat your personal information in accordance with applicable legal requirements.

Your Rights – California Consumer Privacy Act (CCPA)

Under the CCPA, California residents are granted certain rights related to the personal information held by a business. If applicable, these rights include:

- To request that we disclose personal information we have collected about you and sources in the past 12 months;
- To request that we delete your personal information (except as legally required or under exceptions identified in the CCPA);
- To request that we disclose the business purpose for collecting your personal information; and
- To request that we disclose the categories of your personal information we shared with third parties and the categories of third parties with whom we share your information.

If the CCPA applies to you and you wish to exercise any of these rights please contact the Compliance Department using the contact information provided below. We may need to seek further information from you to confirm your identity before we release any personal information. This does not affect your right to make a complaint. We do not discriminate against consumers because they have exercised any of the consumer rights in the CCPA.

Your Rights – General Data Protection Regulation (GDPR)

Under the GDPR, if you are an investor located within a European Union (EU) country, you have the following rights with respect to your personal information:

- The right to request and obtain a copy of your personal information that we maintain;
- The right to correct your personal information that we maintain;
- The right to request the erasure of your personal information from our systems, subject to applicable recordkeeping requirements applicable to us; and
- The right to lodge a complaint with a supervisory authority.

If you reside in the EU and would like to submit a complaint about our use of your personal information, you may contact us or submit a complaint to the data protection regulatory authority in your country. All other inquiries regarding your personal information can be directed to contactus@sbhic.com.

Changes to our Privacy Policy

We reserve the right to change or update this Privacy Policy at any time. We are committed to conducting business in accordance with these guiding principles in order to ensure the confidentiality of your personal information is protected and maintained.

How to Contact Us

If you have any questions regarding this policy or the personal information we collect through our website, please contact us by email at contactus@sbhic.com or by mail:

Segall Bryant & Hamill
Attn: Marketing Department
540 West Madison Street
Suite 1900
Chicago, IL 60661

Toll Free: 800 836-4265